

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Maitri Enterprises Limited (CIN:L45208GJ1991PLC016853)** ("the Company") as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2020. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed there for;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, within the prescribed time as detailed in "Annexure-A" of this certificate. There was no such instance during the year for which the company shall file forms or returns with Regional Director, Central Government, the Tribunal, Court or other authorities during the financial year 2020-21;
4. Calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed during the financial year 2020-21;
5. Closure of Register of Members during the financial year 2020-21;
6. The Company has not advanced loans to its directors and/or persons or firms or companies in which directors were interested as referred in section 185 of the Companies Act, 2013 during the financial year 2020-21;
7. Contracts/arrangements with related parties as specified in section 188 of the Act during the financial year 2020-21;
8. There is no instance of issue or allotment or transfer or transmission of securities and issue of security certificates in all instances except in cases of discrepancies in transfer of shares, during the financial year 2020-21;
9. There is no such instance of keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act, during the financial year 2020-21;

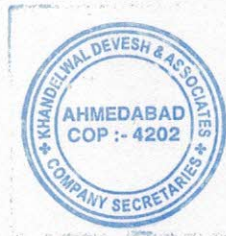


Continuation Sheet

**Khandelwal Devesh & Associates
Company Secretaries**

10. There is no such instance of declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act during the financial year 2020-21;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof during the financial year 2020-21;
12. Constitution/ appointment/ re-appointments/ retirement/filing up casual vacancies/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them during the financial year 2020-21;
13. The Company appointed M/s. Shailesh Gandhi & Associates, Chartered Accountants as a Statutory Auditor of the Company for the period of Five (5) years in Annual General Meeting held on 28.09.2020. There is no instance of reappointment/filing up casual vacancies of auditors as per provisions of Section 139 of the Companies Act, 2013 during the financial year 2020-21;
14. There is no such instance wherein approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act were required to be taken during the financial year 2020-21;
15. There is no such instance of acceptance/ renewal/ repayment of deposits during the financial year 2020-21;
16. Borrowings from its directors, members, public financial institutions, banks and filed charge for respective creation in that respect, wherever applicable during the financial year 2019-20; There is no such instance of modification and satisfaction of charges during the financial year 2020-21;
17. The Company has provided loans to other bodies corporate or persons falling under the provisions of section 186 of the Act during the financial year 2020-21. However, the Company has not made any investments, given guarantees and provided of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act during the financial year 2020-21;
18. There is no such instance of alteration of the provisions of the Memorandum and/ or Articles of Association of the Company during the financial year 2020-21;

**Place: Ahmedabad
Date: 25/12/2021**



**For, Khandelwal Devesh & Associates
Company Secretaries**

Devesh Khandelwal

**Devesh Khandelwal
Proprietor**

FCS No: 6897

COP No.: 4202

UDIN: F006897C001924285

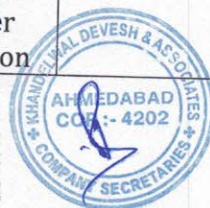
Annexure A

Details of events/matters in respect of which the Company was liable to file returns or comply with requisite provisions of the Companies Act and rules made there under during the Financial Year ending on **31st March, 2021**.

Sr. No	Description of the event/matter	Date of the event	Section	Due date for filing compliance	Date of filing SRN	Concerned Authority (ROC/NCLT /Court)	Reasons for delay if any
1.	FORM AOC-4 XBRL Form for filing XBRL document in respect of financial statement and other documents with the Registrar	28/09/2020	137	31/12/2020*	R70135751 05/11/2020	ROC	Filed under CFSS Scheme
2.	FORM NO. MGT-7 Annual Return	28/09/2020	92	31/12/2020*	R76888247 23/12/2020	ROC	Filed under CFSS Scheme
3.	FORM NO. DPT-3 Return of Deposits	30/06/2020	Rule 16 of the Companies (Acceptance of Deposits) Rules, 2014	31/12/2020*	R45399755 11/07/2020	ROC	Filed under CFSS Scheme
4.	FORM NO. MGT-14 Filing of Resolutions and agreements to the Registrar (AGM Resolution Approval)	28/09/2020	117, 137, 152, 139, 149	31/12/2020*	R67693960 19/10/2020	ROC	-
5.	FORM NO. MGT-15 Form for filing Report on Annual General Meeting	28/09/2020	121	31/12/2020*	R65025108 01/10/2020	ROC	-
6.	FORM NO. ADT-1 Information to the Registrar by	28/09/2020	139	31/12/2020*	R65542458 21/01/2021	ROC	-



	Company for appointment of Auditor						
7.	FORM DIR-12 Form for Appointment and Cessation of Directors and Key Managerial Personnel (Appointment of Manish Mehta as Additional Director)	10/11/2020	152, 161	31/12/2020*	R72187024 25/11/2020	ROC	-
8.	FORM CHG-1 Form for Creation of Charge	03/10/2020	77, 78		R70089677 05/11/2020	ROC	-
10.	FORM MGT-14 Filing of Resolutions and agreements to the Registrar (Approval of Board Report)	07/01/2021	117, 134	31/12/2020*	R59573113 24/09/2020	ROC	-
12.	FORM MGT-14 Filing of Resolutions and agreements to the Registrar (Approval of Annual Accounts, for March, 2020, Appointment of Secretarial Auditor and Approval of Internal Auditor)	29/06/2020	117, 179(3)	31/12/2020*	R44180214 02/07/2020	ROC	-
13.	FORM MSME Form for furnishing half yearly return with the Registrar in respect of outstanding payments to Micro or Small Enterprises	01/04/2021	Pursuant to Order 2 and 3 dated 22 January, 2019 issued under Section	30/04/2021	T17038449 30/04/2021	ROC	-



			405 of the Compan ies Act, 2013					
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*Ministry of Corporate Affairs had extended the date of Companies Fresh Start Scheme (CFSS), 2020 till 31.12.2020 vide General Circular No. 30/2020 dated 28.09.2020.

Place: Ahmedabad
Date: 25/12/2021



For, Khandelwal Devesh & Associates,
Company Secretaries

Devesh Khandelwal
Proprietor
Membership No. F6897
COP No. 4202
UDIN: F006897C001924285